Carrier–Broker Transparency & Compliance Agreement

Pre-Load Negotiation and Documentation Agreement

This agreement establishes transparency, compliance, and professional business practices between a motor carrier and a freight broker prior to accepting or transporting a load. Both parties acknowledge that transportation contracts are built on responsibility, accountability, and clear documentation.

Carrier Company Name: ____

Carrier MC / DOT Number: ____

Broker Company Name: ____

Broker MC Number: ____

Shipper (if disclosed): ____

Date of Agreement: ____

Load Number (if applicable): ______________________________________

1. Broker Authority Verification Broker confirms that it maintains active brokerage authority issued by the Federal Motor Carrier Safety Administration (FMCSA) and will provide verification of that authority upon request.

2. Insurance & Financial Responsibility Broker agrees to provide the following upon request: ACORD Certificate of Insurance Proof of BMC-84 or BMC-85 Surety Bond ($75,000) Notice of policy cancellation if available Carrier agrees to provide: ACORD Certificate of Insurance (cargo and liability) Proof of motor carrier authority W-9 for payment processing

3. Transparency of Records Both parties acknowledge the carrier's right to review broker records related to the load as permitted under 49 CFR 371.3. Requests for review must be made in writing within the time allowed under federal regulations.

4. Performance Bond Negotiation (Optional) For high-value or contract freight, the parties may negotiate a performance bond or financial assurance agreement. Performance Bond Amount (if agreed): $ _______________________________

5. Double Brokering Prohibition Broker affirms that the freight described in this transaction will not be re-brokered or reassigned without the written consent of the carrier.

6. Payment Terms Agreed Rate: $ ____________________________ Payment Method: ____ Payment Due Within: ______ days of invoice submission.

7. Dispute Resolution In the event of a dispute, the parties agree to attempt resolution through professional negotiation or mediation before litigation where possible.

Carrier Representative Name: _______________________________________

Carrier Signature: ____

Date: ____

Broker Representative Name: _______________________________________

Broker Signature: ____

Date: ____